

INFORMATION DISCLOSURE TRANSMITTAL

Docket No. C0011/7000C2

Applicant: Woodrow W. Johnson, Christine J. Atkins, Jon A. Yoh and Thomas M. Parece
Serial No: Not Yet Assigned
Filed: July 11, 2003
For: AN ELECTRONIC RIGHTS MANAGEMENT AND AUTHORIZATION SYSTEM
Examiner: Not Yet Assigned
Art Unit: Not Yet Assigned

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on July 11, 2003.

Jan L. Mellen



Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicant wishes to bring information to the attention of the Examiner. The filing of this statement shall not be construed as a representation that a search has been made or as an admission that this information is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

Enclosures

- ☒ A form PTO-1449 listing this information is attached
- ☐ A copy of each document cited is enclosed.
- ☒ Copies of the cited documents are not enclosed because these references were cited in the parent application serial no. 09/370,953 filed August 10, 1999.

Fees

- ☒ This statement is filed before the later of (1) three months of (i) the filing of a national application or (ii) the entry date for the national stage of an international application or (2) the mailing date of a first office action on the merits. No fee is due.
- ☐ This statement is filed before the mailing date of a final office action, a notice of allowance or an action that otherwise closes prosecution, and
 - ☐ The submission fee of \$180.00 under 37 CFR §1.17(p) is enclosed, or
 - ☐ The following certification is made:
 - ☐ each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or

- ☐ no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.
- ☐ This statement is filed on or before payment of the issue fee, the submission fee of \$180.00 under 37 CFR §1.17(p) is enclosed, *and*
- ☐ each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or
- ☐ no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

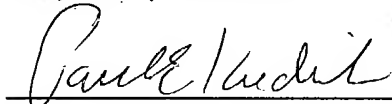
Payment

- ☐ A check in the amount of the submission fee is enclosed.
- ☐ Charge Account No. in the amount of the submission fee. A duplicate of this transmittal sheet is attached.

Authorization to Charge Additional Fees

- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §1.16 and §1.17 required by the attached paper and during the entire pendency of this application to Account No. 02-3038.

Respectfully submitted,



Date: 7/11/03

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**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT Sheet 1 of 1**

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U.S. PATENT DOCUMENTS

Exam Inits	Cite No.	Patent Number	Kind Code	Patentee or Applicant Name	Issue Date
		5,715,403	B1	Stefik	2/1998
		5,204,897	B1	Wyman	4/1993
		5,291,596	B1	Mita	3/1994
		5,557,515	B1	Abbruzzese et al.	9/1996
		5,629,980	B1	Stefik et al.	5/1997
		5,634,012	B1	Stefik et al.	5/1997

FOREIGN PATENT DOCUMENTS

Exam Inits	Cite No.	Cy	Number	Kind Code	Patentee or Applicant Name	Publication Date	T
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Examiner
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